

Registration Fee: \$5,000

Section A — Name and Address of Company

Legal Name

Business Name (Operating as)

Date Incorporated

Place of Incorporation

Corporation Number

Address

Phone Number _____

Fax Number _____

Email _____

INFORMATION AND DOCUMENTATION

1. Business Structure

Attach a current schematic diagram showing the relationship between all parent, controlling, subsidiary affiliated and commonly controlled companies, if applicable, and any periodic updates or amending agreements.

2. Directors and Officers

- Provide on an attached sheet the full legal name and date of birth for all directors and/or officers of the applicant.
- All new directors and/or officers and those that have revisions to report from the previous two (2) years must complete a personal disclosure form.

3. Shareholders

- Provide on an attached sheet a list of all shareholders holding 5% or more of voting or not voting shares of the applicant.
- All shareholders must complete a shareholder disclosure form.

4. Charges and/or Convictions

- Has the applicant been charged or convicted of any type of criminal offence in any jurisdiction in the previous two (2) years? If yes, provide charges, date, conviction results and, sentence, name and address or court and, if applicable, next court date. No Yes
- Does the applicant have any outstanding criminal charges in any jurisdiction? If yes, provide date and, sentence of charge, name and address or court and, if applicable, next court date. No Yes

5. Civil Proceedings

- Has the applicant had any claim made against it in any jurisdiction in the previous two (2) years? If yes, provide description of claim, name of other parties, outcome of proceedings (include written judgments) and, outcome of proceedings, name and address or court and court file number if available. No Yes
- Has the applicant had any outstanding claim(s) filed and pending disposition in any jurisdiction? If yes, provide description of claim, name of other parties, date of proceedings, name and address or court and court file number if available. No Yes

6. Bankruptcy and Insolvency Proceedings

- a. Has the applicant filed bankruptcy, been petitioned into bankruptcy or made a proposal under any bankruptcy or insolvency law in any jurisdiction in the previous two (2) years? If yes, provide date and type of petition filed, name and address of trustee, name and address or court and court file number if available and outcome (include copy of any discharge). No Yes
- b. Has a receiver, trustee, reorganization trustee or similar officer been appointed by a court in any jurisdiction for the business or property of the applicant in the previous two (2) years? If yes, provide name of appointee, date and reason for appointment, name and address or court and court file number if available and outcome (include copy of any discharge). No Yes

7. Permits, Licences, Certificates and Registrations

- a. Has the applicant applied for any new gaming permit, licence, certificate or registration in any jurisdiction in the previous two (2) years? If yes, provide date and type of petition filed, name and address of regulatory body, type of permit, licence or registration and disposition of application (i.e. approved, withdrawn, refused). No Yes
- b. Has the applicant had a gaming permit, licence, certificate or registration suspended, revoked or any other type of penalty (i.e. penalty) in any jurisdiction in the previous two (2) years? If yes, provide, name and address of regulatory body, name and address of regulatory body, type of permit, licence or registration and date and action taken. If applicable, include a copy of the written decision. No Yes

Financial Information and Long-Term Debt

For your information, financial statements, annual reports, Securities Commission Filings, Tax Assessments and a listing of long-term debt may be requested but not required to accompany the renewal registration.

NOTICE

As required by the *Right to Information and Protection of Privacy Act*.

In compliance with the *Gaming Control Act*, it may be necessary for the Registrar of Gaming Control to collect and receive additional information from any source in order to complete or verify the information provided on or with this form and to determine eligibility for registration. The Gaming, Liquor and Security Licensing Branch is required under the *Right to Information and Protection of Privacy Act* to protect the confidentiality of such information in its possession and control and to use the information only for purposes for which it is collected or for consistent purposes.

Information collected may be used and disclosed as follows:

1. To evaluate the applicant, and individuals and corporations identified in connection with the applicant, regarding their person, financial, business and criminal history, honesty and business integrity;
2. Information on the applicant, and on individuals and corporations identified in connection with the applicant, may be shared with Government officials who are assisting the Branch in the evaluation of applicants for registration;
3. The Branch may share information on the applicant, and on individuals and corporations identified in connection with the applicant, with law enforcement agencies for gaming-related investigations or clearances; with other jurisdictions with which it makes formal agreements; and
4. To conduct ongoing personal history investigations, including checks for criminal charges outstanding and past criminal convictions or criminal records, during the entire course of the applicant's registration with the Gaming, Liquor and Security Licensing Branch.

I have read and understand the above noted.

Signature of Authorized Person

Print Name

Position or Title

dated this _____ day of _____ 20 _____